PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member State/District: CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	CDW LLC/ CDW Fin Corp [CS] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - To DESCRIPTION: Maturity date is 12/01/202			12/09/2020	\$1,001 - \$15,000	
	SP	Equinix Inc [CS] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Tr DESCRIPTION: Maturity date 5/15/2027,		12/08/2020 75%.	12/15/2020	\$15,001 - \$50,000	П
	SP	Lennar Corp [CS] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Tr DESCRIPTION: Maturity date 4/30/2024,		12/07/2020 %.	12/14/2020	\$15,001 - \$50,000	

 $[*] For the complete list of asset type abbreviations, please visit \underline{ {\tt https://fd.house.gov/reference/asset-type-codes.aspx.} \\$

ASSET CLASS DETAILS

• Neuberger Berman - Traditional IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

C Yes No

CERTIFICATION AND **S**IGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Alan S. Lowenthal, 12/17/2020