



# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Josh Gottheimer**Status:** Member**State/District:** NJ05

## TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
JT	Advanced Micro Devices, Inc. (AMD) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	11/16/2020	12/08/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JT	Advanced Micro Devices, Inc. (AMD) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	11/11/2020	12/08/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JT	Alibaba Group Holding Limited American Depositary Shares each representing eight Ordinary share (BABA) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	11/11/2020	12/08/2020	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Align Technology, Inc. (ALGN) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	11/11/2020	12/08/2020	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Align Technology, Inc. (ALGN) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	11/06/2020	12/08/2020	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Anylam Pharmaceuticals, Inc. (ALNY) [ST]	S	11/13/2020	12/08/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Amphenol Corporation (APH) [ST]	P	11/13/2020	12/08/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Atlassian Corporation Plc - Class A Ordinary Shares (TEAM) [ST]	S	11/16/2020	12/08/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Atlassian Corporation Plc - Class A Ordinary Shares (TEAM) [ST]	S (partial)	11/06/2020	12/08/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Cerence Inc. (CRNC) [ST]	P	11/19/2020	12/08/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Charles Schwab Corporation (SCHW) [ST]	P	11/16/2020	12/08/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Cognizant Technology Solutions Corporation - Class A (CTSH) [ST]	P	11/16/2020	12/08/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	CryoPort, Inc. (CYRX) [ST]	S (partial)	11/13/2020	12/08/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Estee Lauder Companies, Inc. (EL) [ST]	P	11/13/2020	12/08/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Exact Sciences Corporation (EXAS) [ST]	P	11/11/2020	12/08/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
JT	Facebook, Inc. - Class A (FB) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	11/06/2020	12/08/2020	\$1,001 - \$15,000	<input type="checkbox"/>
JT	FedEx Corporation (FDX) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	11/16/2020	12/08/2020	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Five Below, Inc. (FIVE) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	11/11/2020	12/08/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JT	HCA Healthcare, Inc. (HCA) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	11/16/2020	12/08/2020	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Helen of Troy Limited (HELE) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	11/19/2020	12/08/2020	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Illumina, Inc. (ILMN) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S	11/06/2020	12/08/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JT	Lowe's Companies, Inc. (LOW) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	11/16/2020	12/08/2020	\$1,001 - \$15,000	<input type="checkbox"/>
JT	MarketAxess Holdings, Inc. (MKTX) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	11/13/2020	12/08/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JT	Match Group, Inc. (MTCH) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	11/13/2020	12/08/2020	\$1,001 - \$15,000	<input type="checkbox"/>
JT	McKesson Corporation (MCK) [ST] FILING STATUS: New	P	11/13/2020	12/08/2020	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1						
JT	MercadoLibre, Inc. (MELI) [ST]	S (partial)	11/11/2020	12/08/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1						
JT	Microsoft Corporation (MSFT) [OP]	P	11/17/2020	12/08/2020	\$100,001 - \$250,000	<input type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management Active Assets Account DESCRIPTION: Call options; Strike Price \$145; Expires 12/18/2020						
JT	Microsoft Corporation (MSFT) [OP]	P	11/17/2020	12/08/2020	\$100,001 - \$250,000	<input type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management Active Assets Account DESCRIPTION: Call options; Strike Price \$160; Expires 1/15/2021						
JT	Microsoft Corporation (MSFT) [OP]	P	11/17/2020	12/08/2020	\$250,001 - \$500,000	<input type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management Active Assets Account DESCRIPTION: Call options; Strike Price \$150; Expires 1/15/2021						
JT	Microsoft Corporation (MSFT) [OP]	P	11/17/2020	12/08/2020	\$500,001 - \$1,000,000	<input type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management Active Assets Account DESCRIPTION: Call options; Strike Price \$145; Expires 1/15/2021						
JT	Microsoft Corporation (MSFT) [OP]	S	11/17/2020	12/08/2020	\$250,001 - \$500,000	<input type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management Active Assets Account DESCRIPTION: Call options; Strike Price \$150; Expires 06/18/2021						
JT	Microsoft Corporation (MSFT) [OP]	S	11/17/2020	12/08/2020	\$250,001 - \$500,000	<input type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management Active Assets Account DESCRIPTION: Call options; Strike Price \$150; Expires 3/19/2021						
JT	Microsoft Corporation (MSFT) [OP]	S	11/17/2020	12/08/2020	\$500,001 - \$1,000,000	<input type="checkbox"/>
FILING STATUS: New						

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SUBHOLDING OF: Morgan Stanley - Portfolio Management Active Assets Account DESCRIPTION: Call options; Strike Price \$145; Expires 3/19/2021					
JT	Microsoft Corporation (MSFT) [OP]	S	11/17/2020	12/08/2020	\$100,001 - \$250,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management Active Assets Account DESCRIPTION: Call options; Strike Price \$160; Expires 2/19/2021					
JT	Netflix, Inc. (NFLX) [ST]	S (partial)	11/11/2020	12/08/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	NVIDIA Corporation (NVDA) [ST]	S (partial)	11/11/2020	12/08/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	NVIDIA Corporation (NVDA) [ST]	S (partial)	11/16/2020	12/08/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Pinterest, Inc. Class A (PINS) [ST]	P	11/11/2020	12/08/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	QUALCOMM Incorporated (QCOM) [ST]	P	11/12/2020	12/08/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	QUALCOMM Incorporated (QCOM) [ST]	P	11/16/2020	12/08/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Quidel Corporation (QDEL) [ST]	S	11/11/2020	12/08/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Ritchie Bros. Auctioneers Incorporated (RBA) [ST]	S (partial)	11/11/2020	12/08/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
JT	Sarepta Therapeutics, Inc. (SRPT) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S	11/06/2020	12/08/2020	\$1,001 - \$15,000	<input type="checkbox"/>
JT	ServiceNow, Inc. (NOW) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	11/13/2020	12/08/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JT	ServiceNow, Inc. (NOW) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	11/16/2020	12/08/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JT	Snap Inc. Class A (SNAP) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	11/02/2020	12/08/2020	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Snap Inc. Class A (SNAP) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	11/06/2020	12/08/2020	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Snowflake Inc. Class A (SNOW) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	11/06/2020	12/08/2020	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Snowflake Inc. Class A (SNOW) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S	11/11/2020	12/08/2020	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Spotify Technology S.A. Ordinary Shares (SPOT) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	11/11/2020	12/08/2020	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Tesla, Inc. (TSLA) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	11/06/2020	12/08/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JT	Tesla, Inc. (TSLA) [ST]	S (partial)	11/11/2019	12/08/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Tesla, Inc. (TSLA) [ST]	S (partial)	11/13/2020	12/08/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Tesla, Inc. (TSLA) [ST]	S (partial)	11/16/2020	12/08/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	The Trade Desk, Inc. - Class A (TTD) [ST]	S (partial)	11/11/2020	12/08/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Thermo Fisher Scientific Inc (TMO) [ST]	S (partial)	11/11/2020	12/08/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Twitter, Inc. (TWTR) [ST]	P	11/11/2020	12/08/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Veeva Systems Inc. Class A (VEEV) [ST]	S (partial)	11/16/2020	12/08/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Viatis Inc. (VTRS) [ST]	P	11/19/2020	12/08/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Virtusa Corporation (VRTU) [ST]	S	11/19/2020	12/08/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Virtusa Corporation (VRTU) [ST]	S (partial)	11/11/2020	12/08/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
JT		Zillow Group, Inc. - Class C Capital Stock (Z) [ST]	P	11/12/2020	12/08/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New					
		SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## ASSET CLASS DETAILS

- o Morgan Stanley - Portfolio Management Active Assets Account (Owner: JT)  
LOCATION: US
- o Morgan Stanley - Select UMA Account # 1 (Owner: JT)  
LOCATION: US

## INITIAL PUBLIC OFFERINGS

Yes  No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

**Digitally Signed:** Hon. Josh Gottheimer , 12/09/2020