



Filing ID #20017793

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member

State/District: CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	General Motors Company (GM) [ST]	P	11/23/2020	11/30/2020	\$1,001 - \$15,000	<input type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Individual							
	SP	Novartis AG (NVS) [ST]	S	11/23/2020	11/30/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Individual							

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o Neuberger Berman - Individual (Owner: SP)
LOCATION: US

INITIAL PUBLIC OFFERINGS

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Alan S. Lowenthal , 12/03/2020