



Filing ID #20017790

# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Katherine M. Clark**Status:** Member**State/District:** MA05

## TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	Abbott Laboratories (ABT) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	P	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	SP	Abbott Laboratories (ABT) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	P	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	SP	Alphabet Inc. - Class A (GOOGL) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	S	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	SP	Alphabet Inc. - Class A (GOOGL) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	S	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	SP	Apple Inc. (AAPL) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	S	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	SP	Apple Inc. (AAPL) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	S	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP	Bristol-Myers Squibb Company (BMY) [ST]	FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	S	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Bristol-Myers Squibb Company (BMY) [ST]	FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	S	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Coca-Cola European Partners plc Ordinary Shares (CCEP) [ST]	FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	S	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Coca-Cola European Partners plc Ordinary Shares (CCEP) [ST]	FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	S	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Costco Wholesale Corporation (COST) [ST]	FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	P	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Costco Wholesale Corporation (COST) [ST]	FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	P	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Elanco Animal Health Incorporated (ELAN) [ST]	FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	P	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Elanco Animal Health Incorporated (ELAN) [ST]	FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	P	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Fidelity National Information Services, Inc. (FIS) [ST]	FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	P	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	Fidelity National Information Services, Inc. (FIS) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	P	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	SP	FLIR Systems, Inc. (FLIR) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	P	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	SP	FLIR Systems, Inc. (FLIR) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	P	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	SP	GlaxoSmithKline PLC (GSK) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	S	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	SP	GlaxoSmithKline PLC (GSK) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	S	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	SP	Hologic, Inc. (HOLX) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	P	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	SP	Hologic, Inc. (HOLX) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	P	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	SP	Home Depot, Inc. (HD) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	S	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	SP	Home Depot, Inc. (HD) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	S	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	SP	HSBC Holdings, plc. (HSBC) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	S	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	HSBC Holdings, plc. (HSBC) [ST]  FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	S	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	SP	Intel Corporation (INTC) [ST]  FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	S	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	SP	Intel Corporation (INTC) [ST]  FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	S	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	SP	Invitation Homes Inc. (INVH) [ST]  FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	S	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	SP	Invitation Homes Inc. (INVH) [ST]  FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	S	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	SP	JP Morgan Chase & Co. (JPM) [ST]  FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	S	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	SP	Kimberly-Clark Corporation (KMB) [ST]  FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	S	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	SP	Kimberly-Clark Corporation (KMB) [ST]  FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	S	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	SP	Ligand Pharmaceuticals Incorporated (LGND) [ST]  FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	S	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	SP	Ligand Pharmaceuticals Incorporated (LGND) [ST]  FILING STATUS: New	S	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		SUBHOLDING OF: Ameriprise SEP IRA					
		Microsoft Corp Note [CS]	S	10/01/2020	11/20/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Judith S. Clark Irrevocable Trust DESCRIPTION: Redeemed 3.0%; 10/01/2020					
SP		Mosaic Company (MOS) [ST]	S	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA					
SP		Mosaic Company (MOS) [ST]	S	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA					
SP		National Grid Transco, PLC National Grid PLC (NGG) [ST]	P	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA					
SP		National Grid Transco, PLC National Grid PLC (NGG) [ST]	P	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA					
SP		Oracle Corporation (ORCL) [ST]	S	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA					
SP		Skechers U.S.A., Inc. (SKX) [ST]	S	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA					
SP		Sony Corporation (SNE) [ST]	P	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA					
SP		Sony Corporation (SNE) [ST]	P	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA					
SP		Taiwan Semiconductor	S	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		Manufacturing Company Ltd. (TSM) [ST]  FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA					
SP		Taiwan Semiconductor Manufacturing Company Ltd. (TSM) [ST]  FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	S	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP		UBS Group AG Registered Ordinary Shares (UBS) [ST]  FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	P	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP		UBS Group AG Registered Ordinary Shares (UBS) [ST]  FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	P	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Union Pacific Corporation (UNP) [ST]  FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	P	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Union Pacific Corporation (UNP) [ST]  FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	P	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP		UnitedHealth Group Incorporated Common Stock (UNH) [ST]  FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	S	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP		UnitedHealth Group Incorporated Common Stock (UNH) [ST]  FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	S	10/22/2020	11/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## ASSET CLASS DETAILS

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- Ameriprise SEP IRA (Owner: SP)
- Ameriprise Traditional IRA (Owner: SP)
- Judith S. Clark Irrevocable Trust

## INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

**Digitally Signed:** Hon. Katherine M. Clark , 12/03/2020