



# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Alan S. Lowenthal

**Status:** Member

**State/District:** CA47

## TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP		iShares Russell 2000 Value ETF (IWN) [ST]	P	11/09/2020	11/16/2020	\$15,001 - \$50,000	<input type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA							
SP		ProShares Short QQQ (PSQ) [ST]	S	11/09/2020	11/16/2020	\$15,001 - \$50,000	<input type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA							
SP		ProShares Short S&P500 (SH) [ST]	S	11/09/2020	11/16/2020	\$15,001 - \$50,000	<input type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA							
SP		The Blackstone Group Inc. Class A (BX) [ST]	P	11/04/2020	11/11/2020	\$1,001 - \$15,000	<input type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA							

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## ASSET CLASS DETAILS

- o Neuberger Berman - Traditional IRA (Owner: SP)

## INITIAL PUBLIC OFFERINGS

Yes  No

**CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

**Digitally Signed:** Hon. Alan S. Lowenthal , 11/16/2020