



Filing ID #20017691

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. John A. Yarmuth**Status:** Member**State/District:** KY03

TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	Aphria Inc. - Common Shares (APHA) [ST] FILING STATUS: New	P	11/05/2020	11/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Apple Inc. (AAPL) [ST] FILING STATUS: New	S (partial)	09/04/2020	10/10/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Arena Pharmaceuticals, Inc. (ARNA) [ST] FILING STATUS: New	P	11/05/2020	11/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Aurora Cannabis Inc. Common Shares (ACB) [ST] FILING STATUS: New	P	11/05/2020	11/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Canopy Growth Corporation (CGC) [ST] FILING STATUS: New	P	11/05/2020	11/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Cisco Systems, Inc. (CSCO) [ST] FILING STATUS: New	S (partial)	09/10/2020	10/10/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	ConocoPhillips (COP) [ST] FILING STATUS: New	S (partial)	09/15/2020	10/10/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Cronos Group Inc. - Common Share (CRON) [ST]	P	11/05/2020	11/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	FILING STATUS: New					
	eBay Inc. (EBAY) [ST]	S (partial)	09/03/2020	10/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Facebook, Inc. - Class A (FB) [ST]	P	10/15/2020	11/09/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	GW Pharmaceuticals Plc - American Depositary Shares (GWPH) [ST]	P	11/05/2020	11/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Humana Inc. (HUM) [ST]	P	10/29/2020	11/09/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Intercontinental Exchange Inc. (ICE) [ST]	P	09/17/2020	10/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Monster Beverage Corporation (MNST) [ST]	P	10/15/2020	11/09/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	ProLogis, Inc. (PLD) [ST]	P	10/05/2020	11/09/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Ross Stores, Inc. (ROST) [ST]	P	09/08/2020	10/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Southwest Airlines Company (LUV) [ST]	P	09/08/2020	10/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Tilray, Inc. - Class 2 (TLRY) [ST]	P	11/05/2020	11/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Walmart Inc. (WMT) [ST]	S (partial)	09/08/2020	10/10/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New					

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. John A. Yarmuth , 11/15/2020