



Filing ID #20017685

PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Joe Courtney

Status: Member

State/District: CT02

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP		Apple Inc. (AAPL) [ST] FILING STATUS: New SUBHOLDING OF: Inherited IRA	S	10/16/2020	10/16/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Federated MDT Small Cap Growth [OT] FILING STATUS: New SUBHOLDING OF: Inherited IRA LOCATION: US DESCRIPTION: QISCX	S	10/16/2020	10/16/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Franklin Growth Fund Advantage [OT] FILING STATUS: New SUBHOLDING OF: Inherited IRA LOCATION: US DESCRIPTION: FCGAX	P	10/16/2020	10/16/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Franklin International Growth Advisor Class [OT] FILING STATUS: New SUBHOLDING OF: Inherited IRA LOCATION: US DESCRIPTION: FNGZX	P	10/16/2020	10/16/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Franklin Liberty Investment Grade Corporate ETF (FLCO) [ST] FILING STATUS: New	P	10/16/2020	10/16/2020	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SUBHOLDING OF: Inherited IRA							
SP		Franklin Liberty U.S. Core Bond ETF (FLCB) [ST]	P	10/16/2020	10/16/2020	\$1,001 - \$15,000	<input type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: Inherited IRA							
SP		Franklin LibertyQ U.S. Equity ETF (FLQL) [ST]	P	10/16/2020	10/16/2020	\$1,001 - \$15,000	<input type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: Inherited IRA							
SP		Franklin Small Cap Growth Fund [OT]	P	10/16/2020	10/16/2020	\$1,001 - \$15,000	<input type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: Inherited IRA LOCATION: US DESCRIPTION: FSSAX							
SP		Franklin Small Cap Value Fund [OT]	P	10/16/2020	10/16/2020	\$1,001 - \$15,000	<input type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: Inherited IRA LOCATION: US DESCRIPTION: FVADX							
SP		Goldman Sachs International Equity Insights [OT]	P	10/16/2020	10/16/2020	\$1,001 - \$15,000	<input type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: Inherited IRA LOCATION: US DESCRIPTION: GCIIX							
SP		iShares U.S. Treasury Bond ETF (GOVT) [ST]	P	10/16/2020	10/16/2020	\$1,001 - \$15,000	<input type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: Inherited IRA							
SP		JP Morgan Mortgage Backed Securities [OT]	P	10/16/2020	10/16/2020	\$1,001 - \$15,000	<input type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: Inherited IRA LOCATION: US DESCRIPTION: OMBIX							

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP		Loomis Sayles Senior Float Rate [OT] FILING STATUS: New SUBHOLDING OF: Inherited IRA LOCATION: US DESCRIPTION: LSFYX	S	10/16/2020	10/16/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Pimco FDS Income Institutional [OT] FILING STATUS: New SUBHOLDING OF: Inherited IRA LOCATION: US DESCRIPTION: PIMIX	S	10/16/2020	10/16/2020	\$15,001 - \$50,000	<input type="checkbox"/>
SP		SPDR Portfolio S&P 1500 Composite Stock Market ETF (SPTM) [ST] FILING STATUS: New SUBHOLDING OF: Inherited IRA	S	10/16/2020	10/16/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP		T Rowe Price Blue Chip Growth [OT] FILING STATUS: New SUBHOLDING OF: Inherited IRA LOCATION: US DESCRIPTION: TBCIX	S	10/16/2020	10/16/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Templeton Developing Markets Trust [OT] FILING STATUS: New SUBHOLDING OF: Inherited IRA LOCATION: US DESCRIPTION: TDADX	P	10/16/2020	10/16/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Vanguard FTSE Developed Markets ETF (VEA) [ST] FILING STATUS: New SUBHOLDING OF: Inherited IRA	P	10/16/2020	10/16/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Vanguard FTSE Emerging Markets ETF (VWO) [ST] FILING STATUS: New SUBHOLDING OF: Inherited IRA	P	10/16/2020	10/16/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Vanguard S&P 500 ETF (VOO) [ST]	P	10/16/2020	10/16/2020	\$15,001 - \$50,000	<input type="checkbox"/>

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		FILING STATUS: New SUBHOLDING OF: Inherited IRA					
	SP	WCM Focused International Growth [OT] FILING STATUS: New SUBHOLDING OF: Inherited IRA LOCATION: US DESCRIPTION: WCMIX	S	10/16/2020	10/16/2020	\$1,001 - \$15,000	<input type="checkbox"/>

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o Inherited IRA (Owner: SP)
DESCRIPTION: IRA inherited in December 2018

INITIAL PUBLIC OFFERINGS

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Joe Courtney , 11/16/2020