



# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Dean Phillips  
**Status:** Member  
**State/District:** MN03

## TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	Bank of America Corp 4.38% PFD [CS] FILING STATUS: New DESCRIPTION: Preferred security	P	10/27/2020	11/11/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Charles Schwab Corporation PFD 5.95% [CS] FILING STATUS: New DESCRIPTION: Preferred security	S (partial)	10/15/2020	11/11/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Charles Schwab Corporation PFD 5.95% [CS] FILING STATUS: New DESCRIPTION: Preferred security	S (partial)	10/22/2020	11/11/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	MetLife, Inc Var Rt 12/15/2165 dtd 06/01/2015 [CS] FILING STATUS: New DESCRIPTION: Preferred security	S (partial)	10/13/2020	11/11/2020	\$100,001 - \$250,000	<input type="checkbox"/>
	Micron Technology, Inc. (MU) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	10/01/2020	11/11/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Micron Technology, Inc. (MU) [ST] FILING STATUS: New	P	10/02/2020	11/11/2020	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SUBHOLDING OF: - Trust 1					
	Micron Technology, Inc. (MU) [ST]	P	10/30/2020	11/11/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	SUBHOLDING OF: - Trust 1					

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

### ASSET CLASS DETAILS

- o - Trust 1 (100% Interest)

### INITIAL PUBLIC OFFERINGS

Yes  No

### CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

**Digitally Signed:** Hon. Dean Phillips , 11/11/2020