



# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Alan S. Lowenthal

**Status:** Member

**State/District:** CA47

## TRANSACTIONS

| ID | Owner | Asset   | Transaction Type | Date       | Notification Date | Amount             | Cap. Gains > \$200?      |
|----|-------|---|------------------|------------|-------------------|--------------------|--------------------------|
| SP |       | Fidelity National Information Services, Inc. (FIS) [ST]                 | P                | 10/23/2020 | 10/30/2020        | \$288.04           | <input type="checkbox"/> |
|    |       | FILING STATUS: New<br>SUBHOLDING OF: Neuberger Berman - Beneficiary IRA |                  |            |                   |                    |                          |
| SP |       | Medtronic plc. Ordinary Shares (MDT) [ST]                               | P                | 10/28/2020 | 11/03/2020        | \$1,001 - \$15,000 | <input type="checkbox"/> |
|    |       | FILING STATUS: New<br>SUBHOLDING OF: Neuberger Berman - Beneficiary IRA |                  |            |                   |                    |                          |

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## ASSET CLASS DETAILS

- o Neuberger Berman - Beneficiary IRA (Owner: SP)

## INITIAL PUBLIC OFFERINGS

Yes  No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

**Digitally Signed:** Hon. Alan S. Lowenthal , 11/04/2020