

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member State/District: CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	JP Morgan Chase & Co. (JPM) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - T	S (partial)	10/15/2020	10/22/2020	\$15,001 - \$50,000	V
	SP	TJX Companies, Inc. (TJX) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - T	S (partial)	10/15/2020	10/22/2020	\$1,001 - \$15,000	<u>~</u>
	SP	Universal Display Corporation (OLED) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - T	S (partial)	10/15/2020	10/22/2020	\$1,001 - \$15,000	▽
	SP	Universal Display Corporation (OLED) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - T	S (partial)	10/16/2020	10/23/2020	\$1,001 - \$15,000	<u>~</u>
	SP	Universal Display Corporation (OLED) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - T	S (partial)	10/19/2020	10/26/2020	\$1,001 - \$15,000	<u></u>
	SP	Universal Display Corporation (OLED) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - T	S raditional IRA	10/20/2020	10/27/2020	\$1,001 - \$15,000	V

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^{*} For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

ASSET CLASS DETAILS

• Neuberger Berman - Traditional IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

O Yes O No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Alan S. Lowenthal, 10/28/2020