

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name:	Hon. Alan S. Lowenthal
Status:	Member
State/District:	CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	JP Morgan Chase & Co. (JPM) [ST] Filing Status: New Subholding Of: Neuberger Berman - B	S (partial) eneficiary IRA	10/15/2020	10/22/2020	\$913.23	
	SP	TJX Companies, Inc. (TJX) [ST] Filing Status: New Subholding Of: Neuberger Berman - B	S (partial) eneficiary IRA	10/15/2020	10/22/2020	\$344.01	
	SP	Universal Display Corporation (OLED) [ST] Filing Status: New Subholding Of: Neuberger Berman - B	S (partial) eneficiary IRA	10/15/2020	10/22/2020	\$192.66	
	SP	Universal Display Corporation (OLED) [ST] Filing Status: New Subholding Of: Neuberger Berman - B	S (partial) eneficiary IRA	10/16/2020	10/23/2020	\$191.77	
	SP	Universal Display Corporation (OLED) [ST] Filing Status: New Subholding Of: Neuberger Berman - B	S (partial) eneficiary IRA	10/19/2020	10/26/2020	\$192.29	
	SP	Universal Display Corporation (OLED) [ST] Filing Status: New Subholding Of: Neuberger Berman - B	S eneficiary IRA	10/20/2020	10/27/2020	\$190.45	

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* For the complete list of asset type abbreviations, please visit <u>https://fd.house.gov/reference/asset-type-codes.aspx</u>.

ASSET CLASS DETAILS

• Neuberger Berman - Beneficiary IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

€ Yes € No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Alan S. Lowenthal , 10/28/2020