

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name:	Hon. Alan S. Lowenthal
Status:	Member
State/District:	CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	Activision Blizzard Inc [CS]	S	09/04/2020	10/15/2020	\$1,001 - \$15,000	\checkmark
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - In Description: This bond was redeemed,		/15/2022, inter	rest rate is 2.6%		

* For the complete list of asset type abbreviations, please visit <u>https://fd.house.gov/reference/asset-type-codes.aspx</u>.

ASSET CLASS DETAILS

• Neuberger Berman - Individual (Owner: SP) LOCATION: US

INITIAL PUBLIC OFFERINGS

€ Yes € No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Alan S. Lowenthal , 10/15/2020