

# PERIODIC TRANSACTION REPORT

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#### FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member State/District: CA47

### **TRANSACTIONS**

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	Sunrun Inc. (RUN) [ST]  FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Tr	S (partial)	10/02/2020	10/09/2020	\$1,001 - \$15,000	<b>▽</b>
	SP	Sunrun Inc. (RUN) [ST]  FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Tr	S (partial)	10/06/2020	10/13/2020	\$800.43	<b>V</b>
	SP	Sunrun Inc. (RUN) [ST]  FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Tr	S (partial)	10/07/2020	10/14/2020	\$1,001 - \$15,000	V
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 $<sup>*</sup> For the complete list of asset type abbreviations, please visit \underline{ {\tt https://fd.house.gov/reference/asset-type-codes.aspx}. \\$ 

## ASSET CLASS DETAILS

• Neuberger Berman - Traditional IRA (Owner: SP)

### INITIAL PUBLIC OFFERINGS



# CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Alan S. Lowenthal , 10/15/2020