



PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Roger W. Marshall

Status: Member

State/District: KS01

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
DC	Intel Corporation (INTC) [ST]		P	09/02/2020	10/01/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Mutual Funds > Scottrade						
DC	Intel Corporation (INTC) [ST]		S	09/15/2020	10/01/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Mutual Funds > Scottrade						
DC	Microsoft Corporation (MSFT) [ST]		S	09/15/2020	10/01/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Mutual Funds > Scottrade						
DC	Microsoft Corporation (MSFT) [ST]		P	09/03/2020	10/01/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Mutual Funds > Scottrade						
DC	Roku, Inc. - Class A (ROKU) [ST]		P	09/04/2020	10/01/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Mutual Funds > Scottrade						
DC	Roku, Inc. - Class A (ROKU) [ST]		S	09/15/2020	10/01/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Mutual Funds > Scottrade						

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
DC	Sony Corporation (SNE) [ST]		S	09/15/2020	10/01/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Mutual Funds > Scottrade					
DC	Sony Corporation (SNE) [ST]		P	08/31/2020	10/01/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Mutual Funds > Scottrade					
DC	Sony Corporation (SNE) [ST]		P	08/31/2020	10/01/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Mutual Funds > Scottrade					

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o Mutual Funds (Owner: DC)
LOCATION: US
- o Mutual Funds ⇒ Scottrade (Owner: DC)

INITIAL PUBLIC OFFERINGS

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Roger W. Marshall , 10/13/2020