



PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member

State/District: CA47

TRANSACTIONS

| ID | Owner | Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|---|-------|---|------------------|------------|-------------------|----------|--------------------------|
| | SP | Kratos Defense & Security Solutions, Inc. (KTOS) [ST] | P | 09/08/2020 | 09/15/2020 | \$154.22 | <input type="checkbox"/> |
| FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA | | | | | | | |
| | SP | Kratos Defense & Security Solutions, Inc. (KTOS) [ST] | P | 09/09/2020 | 09/16/2020 | \$58.54 | <input type="checkbox"/> |
| FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA | | | | | | | |
| | SP | Kratos Defense & Security Solutions, Inc. (KTOS) [ST] | P | 09/10/2020 | 09/17/2020 | \$97.82 | <input type="checkbox"/> |
| FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA | | | | | | | |
| | SP | ProShares Short QQQ (PSQ) [ST] | P | 09/08/2020 | 09/15/2020 | \$608.12 | <input type="checkbox"/> |
| FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA | | | | | | | |

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o Neuberger Berman - Beneficiary IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Alan S. Lowenthal , 09/17/2020