



Filing ID #20017390

# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Alan S. Lowenthal**Status:** Member**State/District:** CA47

## TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP		Kratos Defense & Security Solutions, Inc. (KTOS) [ST]  FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	P	09/08/2020	09/15/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Kratos Defense & Security Solutions, Inc. (KTOS) [ST]  FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	P	09/09/2020	09/16/2020	\$975.70	<input type="checkbox"/>
SP		Kratos Defense & Security Solutions, Inc. (KTOS) [ST]  FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	P	09/10/2020	09/17/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP		ProShares Short QQQ (PSQ) [ST]  FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	P	09/08/2020	09/15/2020	\$1,001 - \$15,000	<input type="checkbox"/>

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## ASSET CLASS DETAILS

- Neuberger Berman - Traditional IRA (Owner: SP)

## INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

### **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

**Digitally Signed:** Hon. Alan S. Lowenthal , 09/17/2020