

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name:	Hon. Alan S. Lowenthal
Status:	Member
State/District:	CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	ProShares Short QQQ (PSQ) [ST]	Р	09/08/2020	09/15/2020	\$1,001 - \$15,000	
		Filing Status: New Subholding Of: Neuberger Berman - I	ndividual				

* For the complete list of asset type abbreviations, please visit <u>https://fd.house.gov/reference/asset-type-codes.aspx</u>.

ASSET CLASS DETAILS

• Neuberger Berman - Individual (Owner: SP) LOCATION: US

INITIAL PUBLIC OFFERINGS

🔍 Yes 💿 No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Alan S. Lowenthal , 09/17/2020