



PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member

State/District: CA47

TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP	Amazon.com, Inc. (AMZN) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S (partial)	09/03/2020	09/10/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP	Apple Inc. (AAPL) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S (partial)	09/03/2020	09/10/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP	BlackRock, Inc. (BLK) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S (partial)	09/02/2020	09/09/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP	Kratos Defense & Security Solutions, Inc. (KTOS) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	P	09/03/2020	09/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Kratos Defense & Security Solutions, Inc. (KTOS) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	P	09/04/2020	09/11/2020	\$1,001 - \$15,000	<input type="checkbox"/>

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o Neuberger Berman - Traditional IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Alan S. Lowenthal , 09/15/2020