# Periodic Transaction Report

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#### FILER INFORMATION

Name: Hon. Alan S. Lowenthal

**Status:** Member **State/District:** CA47

#### **TRANSACTIONS**

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	Apple Inc. (AAPL) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - B	S (partial) eneficiary IRA	09/03/2020	09/10/2020	\$616.59	<u>~</u>
	SP	Kratos Defense & Security Solutions, Inc. (KTOS) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - B	P eneficiary IRA	09/03/2020	09/10/2020	\$116.94	П
	SP	Kratos Defense & Security Solutions, Inc. (KTOS) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - B	P eneficiary IRA	09/04/2020	09/11/2020	\$96.16	П

 $<sup>{\</sup>rm *For\ the\ complete\ list\ of\ asset\ type\ abbreviations,\ please\ visit\ \underline{https://fd.house.gov/reference/asset-type-codes.aspx}.}$ 

### ASSET CLASS DETAILS

• Neuberger Berman - Beneficiary IRA (Owner: SP)

## INITIAL PUBLIC OFFERINGS

C Yes 6 No

## CERTIFICATION AND SIGNATURE

☑ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the

best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

 $\textbf{Digitally Signed:} \ \text{Hon. Alan S. Lowenthal} \ , 09/15/2020$