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FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member State/District: CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	Amazon.com, Inc. (AMZN) [ST]	S	09/03/2020	09/10/2020	\$1,001 - \$15,000	~
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - In	ng Status: New Holding Of: Neuberger Berman - Individual				

^{*} For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

ASSET CLASS DETAILS

• Neuberger Berman - Individual (Owner: SP) Location: US

INITIAL PUBLIC OFFERINGS



CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Alan S. Lowenthal, 09/15/2020