



# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Alan S. Lowenthal

**Status:** Member

**State/District:** CA47

## TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP	Salesforce.com Inc (CRM) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S (partial)	08/24/2020	08/31/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP	Sunrun Inc. (RUN) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S (partial)	08/25/2020	09/01/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP	Sunrun Inc. (RUN) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S (partial)	08/26/2020	09/02/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP	Sunrun Inc. (RUN) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S (partial)	08/26/2020	09/02/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP	TJX Companies, Inc. (TJX) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	P	08/24/2020	08/31/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Uber Technologies, Inc. (UBER) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	P	08/26/2020	09/02/2020	\$1,001 - \$15,000	<input type="checkbox"/>

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## ASSET CLASS DETAILS

- Neuberger Berman - Traditional IRA (Owner: SP)

## INITIAL PUBLIC OFFERINGS

Yes  No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

**Digitally Signed:** Hon. Alan S. Lowenthal , 09/04/2020