



PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal**Status:** Member**State/District:** CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP		Crown Castle [CS]	S	07/06/2020	07/13/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA DESCRIPTION: Redeemed, interest rate was 4.875%, maturity date is 4/15/2022					
SP		CVS [CS]	S	07/20/2020	07/27/2020	\$15,001 - \$50,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA DESCRIPTION: Redeemed, interest rate was 2.8%, maturity date is 7/20/2020.					
SP		Sunrun Inc. (RUN) [ST]	S (partial)	07/13/2020	07/20/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA					
SP		Sunrun Inc. (RUN) [ST]	S (partial)	07/13/2020	07/20/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA					
SP		Sunrun Inc. (RUN) [ST]	S (partial)	07/13/2020	07/20/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA					
SP		Sunrun Inc. (RUN) [ST]	S (partial)	07/13/2020	07/20/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA					

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SP	Sunrun Inc. (RUN) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S (partial)	07/13/2020	07/20/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP	Sunrun Inc. (RUN) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S (partial)	07/13/2020	07/20/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP	Sunrun Inc. (RUN) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S (partial)	07/13/2020	07/20/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP	Sunrun Inc. (RUN) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S (partial)	07/13/2020	07/20/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP	T-Mobile US, Inc. - Subscription Rights Expiring 7/27/2020 (TMUSR) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S	07/17/2020	07/24/2020	\$35.08	<input type="checkbox"/>
SP	Uber Technologies, Inc. (UBER) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	P	07/22/2020	07/29/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP	United Health [CS] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA DESCRIPTION: Redeemed, interest rate was 2.7%, maturity date is 7/15/2020.	S	07/15/2020	07/22/2020	\$15,001 - \$50,000	<input type="checkbox"/>
SP	Universal Display Corporation (OLED) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	P	07/27/2020	08/01/2020	\$1,001 - \$15,000	<input type="checkbox"/>

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o Neuberger Berman - Traditional IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Alan S. Lowenthal , 08/03/2020