



# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Alan S. Lowenthal**Status:** Member**State/District:** CA47

## TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP	Brookfield Infrastructure Partners LP Class A Subordinate Voting Shares (BIPC) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Individual	S (partial)	07/23/2020	07/30/2020	\$423.87	<input type="checkbox"/>
SP	Brookfield Infrastructure Partners LP Class A Subordinate Voting Shares (BIPC) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Individual	S (partial)	07/24/2020	07/31/2020	\$325.99	<input type="checkbox"/>
SP	NextEra Energy, Inc. (NEE) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Individual	S (partial)	07/21/2020	07/28/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP	Uber Technologies, Inc. (UBER) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Individual	P	07/24/2020	07/31/2020	\$905.44	<input type="checkbox"/>
SP	Zoetis Inc. Class A (ZTS) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Individual	S (partial)	07/21/2020	07/28/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## ASSET CLASS DETAILS

- Neuberger Berman - Individual (Owner: SP)  
LOCATION: US

## **INITIAL PUBLIC OFFERINGS**

Yes  No

## **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

**Digitally Signed:** Hon. Alan S. Lowenthal , 08/03/2020