

# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member State/District: CA47

### **TRANSACTIONS**

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	Sunrun Inc. (RUN) [ST]  FILING STATUS: New SUBHOLDING OF: Neuberger Berman - E	S (partial) Beneficiary IRA	07/13/2020	07/20/2020	\$348.03	<u>~</u>
	SP	Sunrun Inc. (RUN) [ST]  FILING STATUS: New SUBHOLDING OF: Neuberger Berman - E	S (partial)  Beneficiary IRA	07/13/2020	07/20/2020	\$442.95	<u>~</u>
	SP	Sunrun Inc. (RUN) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - E	S (partial)  Beneficiary IRA	07/13/2020	07/20/2020	\$94.91	
	SP	Sunrun Inc. (RUN) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - E	S (partial)  Beneficiary IRA	07/13/2020	07/13/2020	\$63.28	П
	SP	Sunrun Inc. (RUN) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - E	S (partial)  Beneficiary IRA	07/13/2020	07/20/2020	\$348.03	<b>✓</b>
	SP	Sunrun Inc. (RUN) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - E	S (partial)  Beneficiary IRA	07/13/2020	07/20/2020	\$442.95	<u>~</u>
	SP	Sunrun Inc. (RUN) [ST]	S (partial)	07/13/2020	07/20/2020	\$63.27	

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - B	eneficiary IRA				
	SP	Sunrun Inc. (RUN) [ST]  FILING STATUS: New SUBHOLDING OF: Neuberger Berman - B	S (partial) seneficiary IRA	07/13/2020	07/20/2020	\$94.91	
	SP	T-Mobile US, Inc Subscription Rights Expiring 7/27/2020 (TMUSR) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - B	S eneficiary IRA	07/17/2020	07/24/2020	\$1.68	
	SP	Uber Technologies, Inc. (UBER) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - B	P seneficiary IRA	07/22/2020	07/29/2020	\$456.50	П
	SP	Universal Display Corporation (OLED) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - B	P seneficiary IRA	07/27/2020	08/03/2020	\$168.20	П

<sup>\*</sup> For the complete list of asset type abbreviations, please visit  $\underline{\text{https://fd.house.gov/reference/asset-type-codes.aspx}}.$ 

### ASSET CLASS DETAILS

• Neuberger Berman - Beneficiary IRA (Owner: SP)

### INITIAL PUBLIC OFFERINGS

C Yes No

### CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

 $\textbf{Digitally Signed:} \ \text{Hon. Alan S. Lowenthal , } 08/03/2020$