



Filing ID #20017036

PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Joe Courtney**Status:** Member**State/District:** CT02

TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP	Abbott Laboratories (ABT) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	12/31/2019	12/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Alphabet Inc. - Class A (GOOGL) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	12/31/2019	12/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Amazon.com, Inc. (AMZN) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	12/31/2019	12/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
SP	American Tower Corporation (AMT) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	12/31/2019	12/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Anthem, Inc. (ANTM) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	12/31/2019	12/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Apple Inc. (AAPL) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	12/31/2019	12/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP		Ball Corporation (BLL) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	05/01/2020	05/01/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP		BlackRock, Inc. (BLK) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	12/31/2019	12/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Capital One Financial Corporation (COF) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	12/31/2019	12/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Chevron Corporation (CVX) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	05/13/2020	05/13/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Chubb Limited (CB) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	S	06/02/2020	06/02/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Chubb Limited (CB) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	S (partial)	02/10/2020	02/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Chubb Limited (CB) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	12/31/2019	12/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Cisco Systems, Inc. (CSCO) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	12/31/2019	12/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Citigroup, Inc. (C) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	12/31/2019	12/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Citigroup, Inc. (C) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	02/10/2020	02/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP		Constellation Brands, Inc. (STZ) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	12/31/2019	12/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Costco Wholesale Corporation (COST) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	12/31/2019	12/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Danaher Corporation (DHR) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	12/31/2019	12/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Fiserv, Inc. (FISV) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	05/01/2020	05/01/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Hilton Worldwide Holdings Inc. (HLT) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	03/27/2020	03/27/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Home Depot, Inc. (HD) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	12/31/2019	12/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Honeywell International Inc. (HON) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	12/31/2019	12/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
SP		JP Morgan Chase & Co. (JPM) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	12/31/2019	12/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Keurig Dr Pepper Inc. (KDP) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	12/31/2019	12/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Keurig Dr Pepper Inc. (KDP) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	S (partial)	03/25/2020	03/25/2020	\$1,001 - \$15,000	<input type="checkbox"/>

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		FILING STATUS: New SUBHOLDING OF: Rollover IRA					
SP		Lockheed Martin Corporation (LMT) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	12/31/2019	12/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Microchip Technology Incorporated (MCHP) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	12/31/2019	12/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Microsoft Corporation (MSFT) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	12/31/2019	12/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
SP		NextEra Energy, Inc. (NEE) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	12/31/2019	12/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
SP		NextEra Energy, Inc. (NEE) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	S (partial)	02/10/2020	02/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Pepsico, Inc. (PEP) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	03/18/2020	03/18/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP		ProLogis, Inc. (PLD) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	12/31/2019	12/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Ross Stores, Inc. (ROST) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	12/31/2019	12/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Sherwin-Williams Company (SHW) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	12/31/2019	12/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP	Sysco Corporation (SYN) [ST]		P	12/31/2019	12/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New	SUBHOLDING OF: Rollover IRA					
SP	Sysco Corporation (SYN) [ST]		S	03/18/2020	03/18/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New	SUBHOLDING OF: Rollover IRA					
SP	Thermo Fisher Scientific Inc (TMO) [ST]		P	12/31/2019	12/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New	SUBHOLDING OF: Rollover IRA					
SP	Total S.A. (TOT) [ST]		P	12/31/2019	12/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New	SUBHOLDING OF: Rollover IRA					
SP	Total S.A. (TOT) [ST]		S	05/01/2020	05/01/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New	SUBHOLDING OF: Rollover IRA					
SP	Truist Financial Corporation (TFC) [ST]		P	12/31/2019	12/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New	SUBHOLDING OF: Rollover IRA					
SP	Union Pacific Corporation (UNP) [ST]		P	12/31/2019	12/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New	SUBHOLDING OF: Rollover IRA					
SP	Visa Inc. (V) [ST]		P	12/31/2019	12/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New	SUBHOLDING OF: Rollover IRA					
SP	Walmart Inc. (WMT) [ST]		P	12/31/2019	12/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New	SUBHOLDING OF: Rollover IRA					
SP	Walt Disney Company (DIS) [ST]		P	12/31/2019	12/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New	SUBHOLDING OF: Rollover IRA					

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	SP	Zoetis Inc. Class A (ZTS) [ST]	P	12/31/2019	12/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: Rollover IRA							

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o Rollover IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Joe Courtney , 07/29/2020