

# PERIODIC TRANSACTION REPORT

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### FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member State/District: CA47

### **TRANSACTIONS**

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	Amazon.com, Inc. (AMZN) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Tr	S (partial)	07/10/2020	07/17/2020	\$1,001 - \$15,000	<b>∀</b>
	SP	Sunrun Inc. (RUN) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Tr	S (partial)	07/13/2020	07/20/2020	\$1,001 - \$15,000	<u>~</u>
	SP	Sunrun Inc. (RUN) [ST]  FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Tr	S (partial)	07/13/2020	07/20/2020	\$1,001 - \$15,000	<b>∀</b>
	SP	Sunrun Inc. (RUN) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Tr	S (partial)	07/13/2020	07/20/2020	\$1,001 - \$15,000	<b>V</b>
	SP	Sunrun Inc. (RUN) [ST]  FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Tr	S (partial)	07/13/2020	07/20/2020	\$1,001 - \$15,000	<u>~</u>

<sup>\*</sup> For the complete list of asset type abbreviations, please visit  $\underline{\text{https://fd.house.gov/reference/asset-type-codes.aspx.}}$ 

## ASSET CLASS DETAILS

• Neuberger Berman - Traditional IRA (Owner: SP)

# INITIAL PUBLIC OFFERINGS

C Yes No

# CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Alan S. Lowenthal, 07/24/2020