

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name:	Hon. Alan S. Lowenthal
Status:	Member
State/District:	CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	ProShares Short QQQ (PSQ) [ST] Filing Status: New Subholding Of: Neuberger Berman - B		07/09/2020	07/16/2020	\$468.54	
	SP	Sunrun Inc. (RUN) [ST] Filing Status: New Subholding Of: Neuberger Berman - B	S (partial) eneficiary IRA	06/22/2020	06/29/2020	\$20.01	
	SP	Sunrun Inc. (RUN) [ST] Filing Status: New Subholding Of: Neuberger Berman - B	S (partial) eeneficiary IRA	06/22/2020	06/29/2020	\$20.01	
	SP	Sunrun Inc. (RUN) [ST] Filing Status: New Subholding Of: Neuberger Berman - B	S (partial) Seneficiary IRA	07/02/2020	07/09/2020	\$80.94	Γ
	SP	Sunrun Inc. (RUN) [ST] Filing Status: New Subholding Of: Neuberger Berman - B	S (partial) eeneficiary IRA	07/02/2020	07/09/2020	\$80.94	
	SP	Vivint Solar, Inc. (VSLR) [ST] Filing Status: New Subholding Of: Neuberger Berman - B	S (partial) eneficiary IRA	07/08/2020	07/15/2020	\$628.71	

* For the complete list of asset type abbreviations, please visit <u>https://fd.house.gov/reference/asset-type-codes.aspx</u>.

• Neuberger Berman - Beneficiary IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

○ Yes ● No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Alan S. Lowenthal , 07/20/2020