

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member State/District: CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	ProShares Short QQQ (PSQ) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - T	P `raditional IRA	07/09/2020	07/16/2020	\$1,001 - \$15,000	
	SP	Sunrun Inc. (RUN) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - T	S (partial) `raditional IRA	06/22/2020	06/29/2020	\$500.45	<u>~</u>
	SP	Sunrun Inc. (RUN) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - T	S (partial) Traditional IRA	06/22/2020	06/29/2020	\$500.45	▽
	SP	Sunrun Inc. (RUN) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - T	S (partial) `raditional IRA	07/02/2020	07/09/2020	\$1,001 - \$15,000	~
	SP	Sunrun Inc. (RUN) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - T	S (partial) `raditional IRA	07/02/2020	07/09/2020	\$505.91	✓
	SP	Sunrun Inc. (RUN) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - T	S (partial) `raditional IRA	07/02/2020	07/09/2020	\$1,001 - \$15,000	<u>~</u>
	SP	Vivint Solar, Inc. (VSLR) [ST]	S (partial)	07/08/2020	07/15/2020	\$1,001 - \$15,000	~

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	FILING STATUS: New			
	Subholding Of: Neuberg	er Berman - Traditional IRA		

^{*} For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

ASSET CLASS DETAILS

• Neuberger Berman - Traditional IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

C Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Alan S. Lowenthal, 07/20/2020