



# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Alan S. Lowenthal

**Status:** Member

**State/District:** CA47

## TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP		DraftKings Inc. - Class A (DKNG) [ST]	S (partial)	06/29/2020	07/06/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA					
SP		Facebook, Inc. - Class A (FB) [ST]	S (partial)	06/29/2020	07/06/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA					
SP		ProShares Short QQQ (PSQ) [ST]	P	07/01/2020	07/08/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA					
SP		ProShares Short S&P500 (SH) [ST]	P	06/29/2020	07/06/2020	\$15,001 - \$50,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA					
SP		Sunrun Inc. (RUN) [ST]	S (partial)	07/01/2020	07/08/2020	\$1,000.22	<input checked="" type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA					
SP		Sunrun Inc. (RUN) [ST]	S (partial)	06/19/2020	06/26/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA					

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP		Sunrun Inc. (RUN) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S (partial)	06/19/2020	06/26/2020	\$505.24	<input checked="" type="checkbox"/>
SP		Sunrun Inc. (RUN) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S (partial)	06/19/2020	06/26/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP		Sunrun Inc. (RUN) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S (partial)	06/19/2020	06/26/2020	\$505.24	<input checked="" type="checkbox"/>

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## ASSET CLASS DETAILS

- o Neuberger Berman - Traditional IRA (Owner: SP)

## INITIAL PUBLIC OFFERINGS

Yes  No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

**Digitally Signed:** Hon. Alan S. Lowenthal , 07/08/2020