



Filing ID #20016875

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal**Status:** Member**State/District:** CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP		Sunrun Inc. (RUN) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	S (partial)	06/22/2020	06/29/2020	\$20.01	<input type="checkbox"/>
SP		Sunrun Inc. (RUN) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	S (partial)	06/23/2020	06/30/2020	\$20.04	<input type="checkbox"/>
SP		Vivint Solar, Inc. (VSLR) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	S (partial)	06/23/2020	06/30/2020	\$20.30	<input type="checkbox"/>
SP		Vivint Solar, Inc. (VSLR) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	S (partial)	06/22/2020	06/29/2020	\$10.00	<input type="checkbox"/>

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- Neuberger Berman - Beneficiary IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Alan S. Lowenthal , 07/02/2020