Periodic Transaction Report

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FILER INFORMATION

Name: Hon. Roger W. Marshall

Status: Member State/District: KS01

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	DC	Alibaba Group Holding Limited American Depositary Shares each representing eight Ordinary share (BABA) [ST]	S	06/09/2020	06/09/2020	\$1,001 - \$15,000	
		FILING STATUS: New					
		Subholding Of: Mutual Funds > Scottr	rade				

^{*} For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

ASSET CLASS DETAILS

• Mutual Funds (Owner: DC) Location: US

• Mutual Funds ⇒ Scottrade (Owner: DC)

INITIAL PUBLIC OFFERINGS

○ Yes ○ No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Roger W. Marshall, 07/02/2020