

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member State/District: CA47

TRANSACTIONS

| ID | Owner | Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|----|-------|--|---------------------|------------|----------------------|--------------------|---------------------|
| | SP | Sunrun Inc. (RUN) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Tr | S (partial) | 06/18/2020 | 06/25/2020 | \$1,001 - \$15,000 | ∀ |
| | SP | Sunrun Inc. (RUN) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Tr | S (partial) | 06/19/2020 | 06/26/2020 | \$1,001 - \$15,000 | <u>~</u> |
| | SP | Sunrun Inc. (RUN) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Tr | S (partial) | 06/19/2020 | 06/26/2020 | \$505.24 | <u>~</u> |
| | SP | Vivint Solar, Inc. (VSLR) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Tr | S (partial) | 06/19/2020 | 06/26/2020 | \$1,001 - \$15,000 | <u>~</u> |
| | SP | Vivint Solar, Inc. (VSLR) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Tr | S (partial) | 06/18/2020 | 06/25/2020 | \$750.54 | <u>~</u> |

^{*} For the complete list of asset type abbreviations, please visit $\underline{\text{https://fd.house.gov/reference/asset-type-codes.aspx.}}$

ASSET CLASS DETAILS

• Neuberger Berman - Traditional IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

C Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Alan S. Lowenthal, 06/29/2020