



Filing ID #20016812

# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Steve Cohen**Status:** Member**State/District:** TN09

## TRANSACTIONS

| ID | Owner Asset  | Transaction Type | Date       | Notification Date | Amount             | Cap. Gains > \$200?                 |
|----|--|------------------|------------|-------------------|--------------------|-------------------------------------|
|    | Berkshire Hathaway Inc. New (BRK.B) [ST]<br>FILING STATUS: New<br>SUBHOLDING OF: Stephens Advantage Account      | S                | 05/11/2020 | 06/18/2020        | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
|    | Chevron Corporation (CVX) [ST]<br>FILING STATUS: New<br>SUBHOLDING OF: Stephens Advantage Account                | S                | 05/11/2020 | 06/18/2020        | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
|    | Coca-Cola Company (KO) [ST]<br>FILING STATUS: New<br>SUBHOLDING OF: Stephens Advantage Account                   | S                | 05/11/2020 | 06/18/2020        | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
|    | First Horizon National Corporation (FHN) [ST]<br>FILING STATUS: New<br>SUBHOLDING OF: Stephens Advantage Account | S                | 05/11/2020 | 06/18/2020        | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
|    | Healthpeak Properties, Inc. (PEAK) [ST]<br>FILING STATUS: New<br>SUBHOLDING OF: Stephens Advantage Account       | S                | 05/11/2020 | 06/18/2020        | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
|    | Schlumberger N.V. (SLB) [ST]<br>FILING STATUS: New<br>SUBHOLDING OF: Stephens Advantage Account                  | S                | 05/11/2020 | 06/18/2020        | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |

| ID | Owner Asset   | Transaction Type | Date       | Notification Date | Amount             | Cap. Gains > \$200?                 |
|----|---|------------------|------------|-------------------|--------------------|-------------------------------------|
|    | Walt Disney Company (DIS) [ST]                                  | S                | 05/11/2020 | 06/18/2020        | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
|    | FILING STATUS: New<br>SUBHOLDING OF: Stephens Advantage Account |                  |            |                   |                    |                                     |

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## ASSET CLASS DETAILS

- Stephens Advantage Account  
LOCATION: US

## INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

**Digitally Signed:** Hon. Steve Cohen , 06/18/2020