

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member State/District: CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	Crown Castle Intl Corp [CS] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - T DESCRIPTION: Maturity date is 7/15/202		06/04/2020 ·353%	06/11/2020	\$15,001 - \$50,000	П
	SP	DraftKings Inc Class A (DKNG) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - T	S (partial)	06/03/2020	06/10/2020	\$1,001 - \$15,000	▽
	SP	DraftKings Inc Class A (DKNG) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - T	S (partial)	06/03/2020	06/10/2020	\$1,001 - \$15,000	▽
	SP	Salesforce.com Inc (CRM) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - T	P raditional IRA	06/04/2020	06/11/2020	\$15,001 - \$50,000	П
	SP	Sempra Energy (SRE) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - T	S raditional IRA	06/04/2020	06/11/2020	\$15,001 - \$50,000	<u></u>
	SP	Virgin Galactic Holdings, Inc. (SPCE) [ST]	P	06/03/2020	06/10/2020	\$1,001 - \$15,000	

ID	Owner Asset	Transaction Date Type	Notification Amount Date	Cap. Gains > \$200?
	Filing Status: New			
	Subholding Of: Neuberger	Berman - Traditional IRA		

^{*} For the complete list of asset type abbreviations, please visit $\underline{\text{https://fd.house.gov/reference/asset-type-codes.aspx}}.$

ASSET CLASS DETAILS

• Neuberger Berman - Traditional IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

C Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Alan S. Lowenthal, 06/12/2020