

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

| Name: | Hon. Alan S. Lowenthal |
|-----------------|------------------------|
| Status: | Member |
| State/District: | CA47 |

TRANSACTIONS

| ID | Owner | Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|----|-------|---|--------------------------------------|------------|----------------------|--------------------|---------------------------|
| | SP | DraftKings Inc Class A (DKNG) [ST] Filing Status: New Subholding Of: Neuberger Berman - Be | S (partial) eneficiary IRA | 06/03/2020 | 06/10/2020 | \$120.89 | |
| | SP | DraftKings Inc Class A (DKNG) [ST] Filing Status: New Subholding Of: Neuberger Berman - Be | S (partial) eneficiary IRA | 06/03/2020 | 06/10/2020 | \$80.59 | |
| | SP | DraftKings Inc Class A (DKNG) [ST] Filing Status: New Subholding Of: Neuberger Berman - Be | S (partial) eneficiary IRA | 06/03/2020 | 06/10/2020 | \$282.10 | |
| | SP | Salesforce.com Inc (CRM) [ST] Filing Status: New Subholding Of: Neuberger Berman - Be | P eneficiary IRA | 06/04/2020 | 06/11/2020 | \$1,001 - \$15,000 | |
| | SP | Sempra Energy (SRE) [ST] Filing Status: New Subholding Of: Neuberger Berman - Be | S eneficiary IRA | 06/04/2020 | 06/11/2020 | \$644.37 | |
| | SP | Virgin Galactic Holdings, Inc. (SPCE) [ST] Filing Status: New Subholding Of: Neuberger Berman - Be | P eneficiary IRA | 06/03/2020 | 06/10/2020 | \$418.83 | |

| ID | Owner Asset | Transaction Date Type | Notification Amount Date | Cap. Gains > |
|----|-------------|--------------------------|-----------------------------|-----------------|
| | | | | \$200? |

* For the complete list of asset type abbreviations, please visit <u>https://fd.house.gov/reference/asset-type-codes.aspx</u>.

ASSET CLASS DETAILS

• Neuberger Berman - Beneficiary IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

O Yes O No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Alan S. Lowenthal , 06/12/2020