



Filing ID #20016759

# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Dean Phillips**Status:** Member**State/District:** MN03

## TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		3M Company (MMM) [ST] FILING STATUS: New	P	05/13/2020	06/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		Alliance Data Systems Corporation (ADS) [ST] FILING STATUS: New	P	05/13/2020	06/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		American Airlines Group, Inc. (AAL) [ST] FILING STATUS: New	P	05/13/2020	06/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		American International Group, Inc. New (AIG) [ST] FILING STATUS: New	P	05/13/2020	06/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		AT&T Inc. (T) [ST] FILING STATUS: New	S (partial)	05/13/2020	06/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		Ball Corporation (BLL) [ST] FILING STATUS: New	S	05/13/2020	06/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		Caterpillar, Inc. (CAT) [ST] FILING STATUS: New	S (partial)	05/13/2020	06/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		CenterPoint Energy, Inc (CNP) [ST]	P	05/13/2020	06/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	FILING STATUS: New					
	CMS Energy Corp Var Rt 06/01/2050 DTD 05/28/2020 [CS]  FILING STATUS: New DESCRIPTION: Preferred security	P	05/15/2020	06/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Comerica Incorporated (CMA) [ST]	P	05/13/2020	06/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Deere & Company (DE) [ST]	S (partial)	05/13/2020	06/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	DTE Energy Company (DTE) [ST]	P	05/13/2020	06/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	DXC Technology Company (DXC) [ST]	P	05/13/2020	06/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Emerson Electric Company (EMR) [ST]	P	05/13/2020	06/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Expeditors International of Washington, Inc. (EXPD) [ST]	S (partial)	05/20/2020	06/10/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: - Trust 1					
	Facebook, Inc. - Class A (FB) [ST]	P	05/13/2020	06/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Flowserve Corporation (FLS) [ST]	P	05/13/2020	06/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Franklin Resources, Inc. (BEN) [ST]	P	05/13/2020	06/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Goldman Sachs Group Inc. Var Rt Perp Mat dtd 06/17/2019 [CS]	S	05/15/2020	06/10/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	FILING STATUS: New DESCRIPTION: Preferred security					
	Hartford Financial Services Group, Inc. (HIG) [ST] FILING STATUS: New	S (partial)	05/13/2020	06/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Invesco Ltd (IVZ) [ST] FILING STATUS: New	S	05/13/2020	06/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Loews Corporation (L) [ST] FILING STATUS: New	P	05/13/2020	06/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	LyondellBasell Industries NV Ordinary Shares Class A (LYB) [ST] FILING STATUS: New	P	05/13/2020	06/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Molson Coors Beverage Company Class B (TAP) [ST] FILING STATUS: New	S (partial)	05/13/2020	06/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Newmont Corporation (NEM) [ST] FILING STATUS: New	S (partial)	05/13/2020	06/10/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	NiSource Inc (NI) [ST] FILING STATUS: New	S (partial)	05/13/2020	06/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	PayPal Holdings, Inc. (PYPL) [ST] FILING STATUS: New	S (partial)	05/13/2020	06/10/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Philip Morris International Inc (PM) [ST] FILING STATUS: New	S	05/13/2020	06/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Progressive Corporation (PGR) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	05/19/2020	06/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Progressive Corporation (PGR) [ST] FILING STATUS: New	P	05/20/2020	06/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SUBHOLDING OF: - Trust 1					
	Progressive Corporation (PGR) [ST]  FILING STATUS: New SUBHOLDING OF: - Trust 1	P	05/21/2020	06/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Progressive Corporation (PGR) [ST]  FILING STATUS: New SUBHOLDING OF: - Trust 1	P	05/18/2020	06/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Public Storage (PSA) [ST]  FILING STATUS: New	S (partial)	05/13/2020	06/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Regeneron Pharmaceuticals, Inc. (REGN) [ST]  FILING STATUS: New	S (partial)	05/13/2020	06/10/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Sony Corporation (SNE) [ST]  FILING STATUS: New SUBHOLDING OF: - Trust 1	P	05/26/2020	06/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Truist Fin Corp Var Rt Perp Mat dtd 6/01/2020 [CS]  FILING STATUS: New DESCRIPTION: Preferred security	P	05/27/2020	06/10/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	Truist Financial Corporation (TFC) [ST]  FILING STATUS: New	P	05/13/2020	06/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	U.S. Bancorp (USB) [ST]  FILING STATUS: New	S (partial)	05/13/2020	06/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Unilever PLC (UL) [ST]  FILING STATUS: New SUBHOLDING OF: - Trust 1	P	05/18/2020	06/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	UnitedHealth Group Incorporated Common Stock (UNH) [ST]  FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	05/20/2020	06/10/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	UnitedHealth Group Incorporated Common Stock (UNH) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	05/21/2020	06/10/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	UnitedHealth Group Incorporated Common Stock (UNH) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	05/15/2020	06/10/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	UnitedHealth Group Incorporated Common Stock (UNH) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	05/18/2020	06/10/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	UnitedHealth Group Incorporated Common Stock (UNH) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	05/19/2020	06/10/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	ViacomCBS Inc. - Class B (VIAC) [ST] FILING STATUS: New	P	05/13/2020	06/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Vulcan Materials Company (VMC) [ST] FILING STATUS: New	S	05/13/2020	06/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Wells Fargo & Company (WFC) [ST] FILING STATUS: New	S (partial)	05/13/2020	06/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Wells Fargo & Company (WFC) [ST] FILING STATUS: New	S	05/27/2020	06/10/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	Wintrust Financial Corp [CS] FILING STATUS: New DESCRIPTION: Preferred security	P	05/06/2020	06/10/2020	\$1,001 - \$15,000	<input type="checkbox"/>

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## ASSET CLASS DETAILS

- - Trust 1 (100% Interest)

## INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

**Digitally Signed:** Hon. Dean Phillips , 06/15/2020