

# PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

Name: Hon. Josh Gottheimer

Status: Member State/District: NJ05

### **TRANSACTIONS**

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	JT	Advanced Micro Devices, Inc. (AMD) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select	S (partial) t UMA Account #		06/08/2020	\$1,001 - \$15,000	✓
	JT	Alibaba Group Holding Limited American Depositary Shares each representing eight Ordinary share (BABA) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select	P t UMA Account #		06/08/2020	\$1,001 - \$15,000	
	JT	Alphabet Inc Class C Capital Stock (GOOG) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Selec	S (partial)		06/08/2020	\$1,001 - \$15,000	
		Amazon.com, Inc. (AMZN) [ST]  FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Tradi	S tional Inherited II	-, ,	06/08/2020	\$50,001 - \$100,000	<b>V</b>
	JT	Amgen Inc. (AMGN) [ST]  FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select	S (partial)		06/08/2020	\$1,001 - \$15,000	
		Apple Inc. (AAPL) [ST]	S	05/18/2020	06/08/2020	\$15,001 - \$50,000	<u> </u>

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Traditional Inherited IRA					
	JT	Canadian National Railway Company (CNI) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select	S (partial)		06/08/2020	\$1,001 - \$15,000	<u> </u>
	JT	Cantel Medical Corp. (CMD) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select	S  t UMA Account # 1		06/08/2020	\$1,001 - \$15,000	П
	JT	Catalent, Inc. (CTLT) [ST]  FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select	S (partial)		06/08/2020	\$1,001 - \$15,000	<b>▽</b>
	JT	Catalent, Inc. (CTLT) [ST]  FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select	S (partial)		06/08/2020	\$1,001 - \$15,000	<u></u>
	JT	Central Garden & Pet Company (CENT) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select	S (partial)		06/08/2020	\$1,001 - \$15,000	П
	JT	Chevron Corporation (CVX) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select	P  t UMA Account # 1	"	06/08/2020	\$1,001 - \$15,000	П
	JT	Delta Air Lines, Inc. (DAL) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select	S  t UMA Account # 1		06/08/2020	\$1,001 - \$15,000	П
	JT	DexCom, Inc. (DXCM) [ST]  FILING STATUS: New  SUBHOLDING OF: Morgan Stanley - Select	S (partial)		06/08/2020	\$1,001 - \$15,000	<u></u>
	JT	Fidelity National Information Services, Inc. (FIS) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select	S (partial)		06/08/2020	\$1,001 - \$15,000	<b>▽</b>

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	JT	Globus Medical, Inc. Class A (GMED) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select	S (partial)		06/08/2020	\$1,001 - \$15,000	V
		Goldman Sachs Group, Inc. (GS) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Tradi	S tional Inherited IF		06/08/2020	\$15,001 - \$50,000	~
	JT	GrubHub Inc. (GRUB) [ST]  FILING STATUS: New  SUBHOLDING OF: Morgan Stanley - Select	P  UMA Account # 1		06/08/2020	\$1,001 - \$15,000	П
	JT	Haemonetics Corporation (HAE) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select	P  UMA Account # 1		06/08/2020	\$1,001 - \$15,000	
	JT	Haemonetics Corporation (HAE) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select	P  t UMA Account # 1		06/08/2020	\$1,001 - \$15,000	
		JP Morgan Chase & Co. (JPM) [ST]  FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Tradi			06/08/2020	\$15,001 - \$50,000	П
	JT	Kinder Morgan, Inc. (KMI) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select	S UMA Account # 1		06/08/2020	\$1,001 - \$15,000	
		Microsoft Corporation (MSFT) [ST]  FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Tradi	S tional Inherited IF	/	06/08/2020	\$15,001 - \$50,000	<b>▽</b>
	JT	Microsoft Corporation (MSFT) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select	S (partial)		06/08/2020	\$1,001 - \$15,000	~
	JT	Moderna, Inc. (MRNA) [ST]	S (partial)	05/22/2020	06/08/2020	\$1,001 - \$15,000	~

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		FILING STATUS: New Subholding Of: Morgan Stanley - Select	t UMA Account # :	ı			
	JT	Nestle SA Sponsored ADR representing Registered Shares Series B (NSRGY) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select	S (partial)		06/08/2020	\$1,001 - \$15,000	<b>▽</b>
	JT	Philip Morris International Inc (PM) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select	P t UMA Account # :		06/08/2020	\$1,001 - \$15,000	
	JT	Regeneron Pharmaceuticals, Inc. (REGN) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select	P t UMA Account # :		06/08/2020	\$1,001 - \$15,000	П
	JT	Starbucks Corporation (SBUX) [ST]  FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select	S (partial)		06/08/2020	\$1,001 - \$15,000	
	JT	Supernus Pharmaceuticals, Inc. (SUPN) [ST]  FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select	P t UMA Account # :		06/08/2020	\$1,001 - \$15,000	
	JT	Tesla, Inc. (TSLA) [ST]  FILING STATUS: New  SUBHOLDING OF: Morgan Stanley - Select	S (partial)		06/08/2020	\$1,001 - \$15,000	<u></u>
		The Blackstone Group Inc. Class A (BX) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Tradi			06/08/2020	\$15,001 - \$50,000	<b>▽</b>
	JT	Viavi Solutions Inc. (VIAV) [ST]  FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select	P t UMA Account # :	-, -,	06/08/2020	\$1,001 - \$15,000	
	JT	Viavi Solutions Inc. (VIAV) [ST] FILING STATUS: New	P	05/07/2020	06/08/2020	\$1,001 - \$15,000	

ID	Owner Asset	Transaction Date Type	Notification Amount Date	Cap. Gains > \$200?		
Subholding Of: Morgan Stanley - Select UMA Account # 1						

<sup>\*</sup> For the complete list of asset type abbreviations, please visit <a href="https://fd.house.gov/reference/asset-type-codes.aspx">https://fd.house.gov/reference/asset-type-codes.aspx</a>.

### ASSET CLASS DETAILS

- Morgan Stanley Select UMA Account # 1 (Owner: JT) Location: US
- Morgan Stanley Traditional Inherited IRA

### **INITIAL PUBLIC OFFERINGS**

C Yes No

### CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Josh Gottheimer, 06/10/2020