PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member State/District: CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	DraftKings Inc Class A (DKNG) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - T	S (partial)	05/26/2020	06/02/2020	\$1,001 - \$15,000	<u>~</u>
	SP	DraftKings Inc Class A (DKNG) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - T	S (partial)	05/26/2020	06/02/2020	\$916.99	<u></u>
	SP	TJX Companies, Inc. (TJX) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - T.	P raditional IRA	05/26/2020	06/02/2020	\$1,001 - \$15,000	
	SP	TJX Companies, Inc. (TJX) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - T	P raditional IRA	05/27/2020	06/03/2020	\$1,001 - \$15,000	

^{*} For the complete list of asset type abbreviations, please visit $\underline{\text{https://fd.house.gov/reference/asset-type-codes.aspx}}.$

ASSET CLASS DETAILS

• Neuberger Berman - Traditional IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS



CERTIFICATION AND SIGNATURE

 $\overline{\mathbb{M}}$ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 06/04/2020