



# PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Hon. Roger W. Marshall

**Status:** Member

**State/District:** KS01

## TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
DC	Advanced Micro Devices, Inc. (AMD) [ST] FILING STATUS: New SUBHOLDING OF: Mutual Funds > Scottrade	P	05/04/2020	05/04/2020	\$1,001 - \$15,000	<input type="checkbox"/>
DC	Advanced Micro Devices, Inc. (AMD) [ST] FILING STATUS: New SUBHOLDING OF: Mutual Funds > Scottrade	S	05/12/2020	05/12/2020	\$1,001 - \$15,000	<input type="checkbox"/>
DC	Alibaba Group Holding Limited American Depository Shares each representing eight Ordinary share (BABA) [ST] FILING STATUS: New SUBHOLDING OF: Mutual Funds > Scottrade	S (partial)	05/12/2020	05/12/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
DC	Alibaba Group Holding Limited American Depository Shares each representing eight Ordinary share (BABA) [ST] FILING STATUS: New SUBHOLDING OF: Mutual Funds > Scottrade	P	05/04/2020	05/04/2020	\$1,001 - \$15,000	<input type="checkbox"/>
DC	Gilead Sciences, Inc. (GILD) [ST] FILING STATUS: New SUBHOLDING OF: Mutual Funds > Scottrade	P	05/05/2020	05/05/2020	\$1,001 - \$15,000	<input type="checkbox"/>
DC	Gilead Sciences, Inc. (GILD) [ST]	P	05/04/2020	05/04/2020	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		FILING STATUS: New SUBHOLDING OF: Mutual Funds > Scottrade					
DC		Gilead Sciences, Inc. (GILD) [ST]	P	05/07/2020	05/07/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Mutual Funds > Scottrade					
DC		Roku, Inc. - Class A (ROKU) [ST]	P	05/19/2020	05/19/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Mutual Funds > Scottrade					
DC		Walt Disney Company (DIS) [ST]	P	05/05/2020	05/05/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Mutual Funds > Scottrade					
DC		YETI Holdings, Inc. (YETI) [ST]	P	05/04/2020	05/04/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Mutual Funds > Scottrade					
DC		YETI Holdings, Inc. (YETI) [ST]	S	05/07/2020	05/07/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Mutual Funds > Scottrade					

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## ASSET CLASS DETAILS

- o Mutual Funds (Owner: DC)  
LOCATION: US
- o Mutual Funds ⇒ Scottrade (Owner: DC)

## INITIAL PUBLIC OFFERINGS

Yes  No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Roger W. Marshall , 06/03/2020