



Filing ID #20016678

# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Katherine M. Clark**Status:** Member**State/District:** MA05

## TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP	ABB Ltd (ABB) [ST]		S	04/03/2020	05/21/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA					
SP	ABB Ltd (ABB) [ST]		S	04/03/2020	05/21/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA					
SP	Alphabet Inc. - Class A (GOOGL) [ST]		P	04/03/2020	05/21/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA					
SP	Alphabet Inc. - Class A (GOOGL) [ST]		P	04/03/2020	05/21/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA					
SP	AMC Networks Inc. - Class A (AMCX) [ST]		S	04/03/2020	05/21/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA					
SP	AMC Networks Inc. - Class A (AMCX) [ST]		S	04/03/2020	05/21/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA					

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	Apple Inc. (AAPL) [ST]  FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	P	04/03/2020	05/21/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	SP	Apple Inc. (AAPL) [ST]  FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	P	04/03/2020	05/21/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	SP	Bristol-Myers Squibb Company (BMY) [ST]  FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	P	04/03/2020	05/21/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	SP	Bristol-Myers Squibb Company (BMY) [ST]  FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	P	04/03/2020	05/21/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	SP	Emerson Electric Company (EMR) [ST]  FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	S	04/03/2020	05/21/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	SP	Emerson Electric Company (EMR) [ST]  FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	S	04/03/2020	05/21/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	SP	L3Harris Technologies, Inc. (LHX) [ST]  FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	P	04/03/2020	05/21/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	SP	L3Harris Technologies, Inc. (LHX) [ST]  FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	P	04/03/2020	05/21/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	SP	Ligand Pharmaceuticals Incorporated (LGND) [ST]  FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	P	04/03/2020	05/21/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	SP	Ligand Pharmaceuticals	P	04/03/2020	05/21/2020	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		Incorporated (LGND) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA					
	SP	Mosaic Company (MOS) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	P	04/03/2020	05/21/2020	\$1,001 - \$15,000	<input type="checkbox"/>

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## ASSET CLASS DETAILS

- o Ameriprise SEP IRA (Owner: SP)
- o Ameriprise Traditional IRA (Owner: SP)

## INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Katherine M. Clark , 05/29/2020