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FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member State/District: CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	BlackRock, Inc. (BLK) [ST]	S (partial)	05/15/2020	05/22/2020	\$420.00	
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - In	ndividual				

^{*} For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

ASSET CLASS DETAILS

• Neuberger Berman - Individual (Owner: SP) LOCATION: US

INITIAL PUBLIC OFFERINGS

○ Yes ○ No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

 $\textbf{Digitally Signed:} \ \text{Hon. Alan S. Lowenthal} \ , 05/28/2020$