



Filing ID #20016556

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Josh Gottheimer**Status:** Member**State/District:** NJ05

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
JT		AIA Group, Ltd. Sponsored American Depository Receipt (AAGIY) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	04/17/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
JT		Alnylam Pharmaceuticals, Inc. (ALNY) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	04/06/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
JT		Alphabet Inc. - Class C Capital Stock (GOOG) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	04/17/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
JT		Alphabet Inc. - Class C Capital Stock (GOOG) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	04/30/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
JT		Apple Inc. (AAPL) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	04/20/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
JT		Arista Networks, Inc. (ANET) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	04/13/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
JT		Boeing Company (BA) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S	04/09/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
JT		Boeing Company (BA) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	04/02/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
JT		Boston Scientific Corporation (BSX) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	04/09/2020	05/06/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JT		Boston Scientific Corporation (BSX) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S	04/14/2020	05/06/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JT		Chugai Pharmaceutical Ltd Un-sponsored ADR (CHGKY) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	04/13/2020	05/06/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JT		Facebook, Inc. - Class A (FB) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	04/30/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
JT		HealthEquity, Inc. (HQY) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	04/03/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
JT		Intuitive Surgical, Inc. (ISRG) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	04/17/2020	05/06/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JT		Komatsu Ltd Ord American Depositary Shares (KMTUY) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	04/13/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
JT		Las Vegas Sands Corp. (LVS) [ST]	S (partial)	04/27/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>

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		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT		Linde plc Ordinary Share (LIN) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	04/13/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
JT		Mastercard Incorporated (MA) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	04/17/2020	05/06/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JT		Microsoft Corporation (MSFT) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	04/13/2020	05/06/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JT		Rio Tinto Plc (RIO) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	04/13/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
JT		Rio Tinto Plc (RIO) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	04/14/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
JT		Roche Holdings AG Basel American Depositary Shares (RHHBY) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	04/14/2020	05/06/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JT		Royal Dutch Shell PLC Royal Dutch Shell PLC American Depositary Shares (RDS.B) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	04/13/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
JT		Schlumberger N.V. (SLB) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S	04/13/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
JT		Schneider Electric SE Unsponsored ADR (SBGSY) [ST]	P	04/14/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>

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		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT		Seattle Genetics, Inc. (SGEN) [ST]	P	04/30/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT		Snap Inc. Class A (SNAP) [ST]	P	04/23/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT		Taiwan Semiconductor Manufacturing Company Ltd. (TSM) [ST]	P	04/17/2020	05/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT		Tesla, Inc. (TSLA) [ST]	S (partial)	04/17/2020	05/06/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o Morgan Stanley - Select UMA Account # 1 (Owner: JT)
LOCATION: US

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Josh Gottheimer , 05/13/2020