

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

### FILER INFORMATION

| Name:           | Hon. Alan S. Lowenthal |
|-----------------|------------------------|
| Status:         | Member                 |
| State/District: | CA47                   |

#### TRANSACTIONS

| ID | Owner | Asset  | Transaction<br>Type                  | Date       | Notification<br>Date | Amount             | Cap.<br>Gains ><br>\$200? |
|----|-------|--|--------------------------------------|------------|----------------------|--------------------|---------------------------|
|    | SP    | ProShares Short S&P500 (SH)<br>[ST]<br>Filing Status: New<br>Subholding Of: Neuberger Berman - T | <b>S (partial)</b><br>raditional IRA | 04/27/2020 | 05/04/2020           | \$1,001 - \$15,000 |                           |
|    | SP    | ProShares Short S&P500 (SH)<br>[ST]<br>Filing Status: New<br>Subholding Of: Neuberger Berman - T | <b>S (partial)</b><br>raditional IRA | 04/27/2020 | 05/04/2020           | \$1,001 - \$15,000 | Γ                         |
|    | SP    | ProShares Short S&P500 (SH)<br>[ST]<br>Filing Status: New<br>Subholding Of: Neuberger Berman - T | <b>S (partial)</b><br>raditional IRA | 04/29/2020 | 05/04/2020           | \$1,001 - \$15,000 |                           |
|    | SP    | ProShares Short S&P500 (SH)<br>[ST]<br>Filing Status: New<br>Subholding Of: Neuberger Berman - T | <b>S (partial)</b><br>raditional IRA | 04/29/2020 | 05/04/2020           | \$1,001 - \$15,000 |                           |

\* For the complete list of asset type abbreviations, please visit <u>https://fd.house.gov/reference/asset-type-codes.aspx</u>.

### ASSET CLASS DETAILS

• Neuberger Berman - Traditional IRA (Owner: SP)

# **INITIAL PUBLIC OFFERINGS**

€ Yes € No

# **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 05/06/2020