

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

#### FILER INFORMATION

Name:	Hon. Alan S. Lowenthal
Status:	Member
State/District:	CA47

#### TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	Ecolab Inc. (ECL) [ST] Filing Status: New Subholding Of: Neuberger Berman - In	<b>S (partial)</b> ndividual	04/20/2020	04/27/2020	\$348.94	
	SP	Ecolab Inc. (ECL) [ST] Filing Status: New Subholding Of: Neuberger Berman - In	<b>S</b> ndividual	04/20/2020	04/27/2020	\$1,001 - \$15,000	
	SP	ProShares Short S&P500 (SH) [ST] Filing Status: New Subholding Of: Neuberger Berman - In	<b>S (partial)</b> ndividual	04/17/2020	04/24/2020	\$570.26	V
	SP	The Blackstone Group Inc. Class A (BX) [ST] Filing Status: New Subholding Of: Neuberger Berman - In		04/17/2020	04/24/2020	\$342.19	

\* For the complete list of asset type abbreviations, please visit <u>https://fd.house.gov/reference/asset-type-codes.aspx</u>.

### ASSET CLASS DETAILS

• Neuberger Berman - Individual (Owner: SP) LOCATION: US

## **INITIAL PUBLIC OFFERINGS**

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€ Yes € No

# **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 05/02/2020