

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

| Name: | Hon. Alan S. Lowenthal |
|-----------------|------------------------|
| Status: | Member |
| State/District: | CA47 |

TRANSACTIONS

| ID | Owner | Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|----|-------|--|---------------------------------|------------|----------------------|--------------------|---------------------------|
| | SP | Ecolab Inc. (ECL) [ST] Filing Status: New Subholding Of: Neuberger Berman - In | S (partial) ndividual | 04/20/2020 | 04/27/2020 | \$348.94 | |
| | SP | Ecolab Inc. (ECL) [ST] Filing Status: New Subholding Of: Neuberger Berman - In | S ndividual | 04/20/2020 | 04/27/2020 | \$1,001 - \$15,000 | |
| | SP | ProShares Short S&P500 (SH) [ST] Filing Status: New Subholding Of: Neuberger Berman - In | S (partial) ndividual | 04/17/2020 | 04/24/2020 | \$570.26 | V |
| | SP | The Blackstone Group Inc. Class A (BX) [ST] Filing Status: New Subholding Of: Neuberger Berman - In | | 04/17/2020 | 04/24/2020 | \$342.19 | |

* For the complete list of asset type abbreviations, please visit <u>https://fd.house.gov/reference/asset-type-codes.aspx</u>.

ASSET CLASS DETAILS

• Neuberger Berman - Individual (Owner: SP) LOCATION: US

INITIAL PUBLIC OFFERINGS

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€ Yes € No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 05/02/2020