



Filing ID #20016463

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member

State/District: CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP		Diamond Eagle Acquisition Corp. - P Unit (DEACU) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	P	04/16/2020	04/22/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP		ProShares Short S&P500 (SH) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S (partial)	04/13/2020	04/20/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP		The Blackstone Group Inc. Class A (BX) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	P	04/16/2020	04/22/2020	\$1,001 - \$15,000	<input type="checkbox"/>

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o Neuberger Berman - Traditional IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the

best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 04/23/2020