



Filing ID #20016457

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Steve Cohen**Status:** Member**State/District:** TN09

TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	AT&T Inc. (T) [ST] FILING STATUS: New SUBHOLDING OF: Stephens SEP IRA	S	03/26/2020	04/22/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Berkshire Hathaway Inc. New (BRK.B) [ST] FILING STATUS: New SUBHOLDING OF: Stephens SEP IRA	S	03/26/2020	04/22/2020	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	Chevron Corporation (CVX) [ST] FILING STATUS: New SUBHOLDING OF: Stephens SEP IRA	S	03/26/2020	04/22/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Coca-Cola Company (KO) [ST] FILING STATUS: New SUBHOLDING OF: Stephens SEP IRA	S	03/26/2020	04/22/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Cohen & Steers Quality Income Realty Fund Inc Common Shares (RQI) [ST] FILING STATUS: New SUBHOLDING OF: Stephens SEP IRA	S	03/26/2020	04/22/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Eli Lilly and Company (LLY) [ST] FILING STATUS: New SUBHOLDING OF: Stephens SEP IRA	S	03/26/2020	04/22/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

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	Emergent Biosolutions, Inc. (EBS) [ST] FILING STATUS: New SUBHOLDING OF: Stephens SEP IRA	S	03/26/2020	04/22/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Exxon Mobil Corporation (XOM) [ST] FILING STATUS: New SUBHOLDING OF: Stephens SEP IRA	S	03/26/2020	04/22/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Fidelity Dividend ETF for Rising Rates (FDRR) [ST] FILING STATUS: New SUBHOLDING OF: Stephens SEP IRA	S	03/26/2020	04/22/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	First Horizon National Corporation (FHN) [ST] FILING STATUS: New SUBHOLDING OF: Stephens SEP IRA	S	03/26/2020	04/22/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Healthpeak Properties, Inc. (PEAK) [ST] FILING STATUS: New SUBHOLDING OF: Stephens SEP IRA	S	03/26/2020	04/22/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Host Hotels & Resorts, Inc. (HST) [ST] FILING STATUS: New SUBHOLDING OF: Stephens SEP IRA	S	03/26/2020	04/22/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	International Business Machines Corporation (IBM) [ST] FILING STATUS: New SUBHOLDING OF: Stephens SEP IRA	S	03/26/2020	04/22/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Microsoft Corporation (MSFT) [ST] FILING STATUS: New SUBHOLDING OF: Stephens SEP IRA	S	03/26/2020	04/22/2020	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	Raytheon Company (RTN) [ST] FILING STATUS: New SUBHOLDING OF: Stephens SEP IRA	S	03/26/2020	04/22/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

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	Regions Financial Corporation (RF) [ST] FILING STATUS: New SUBHOLDING OF: Stephens SEP IRA	S	03/26/2020	04/22/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Schlumberger N.V. (SLB) [ST] FILING STATUS: New SUBHOLDING OF: Stephens SEP IRA	S	03/26/2020	04/22/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Sony Corporation (SNE) [ST] FILING STATUS: New SUBHOLDING OF: Stephens SEP IRA	S	03/26/2020	04/22/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Toyota Motor Corporation (TM) [ST] FILING STATUS: New SUBHOLDING OF: Stephens SEP IRA	S	03/26/2020	04/22/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Walt Disney Company (DIS) [ST] FILING STATUS: New SUBHOLDING OF: Stephens SEP IRA	S	03/26/2020	04/22/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- Stephens SEP IRA

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Steve Cohen , 04/22/2020