Periodic Transaction Report

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member **State/District:** CA47

TRANSACTIONS

| ID | Owner | Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|----|-------|--|---------------------|------------|----------------------|--------------------|---------------------|
| | SP | Blackstone Mortgage Trust, Inc. (BXMT) [ST] | S (partial) | 04/09/2020 | 04/16/2020 | \$1,001 - \$15,000 | |
| | | FILING STATUS: New Subholding Of: Neuberger Berman - Individual | | | | | |

^{*} For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

ASSET CLASS DETAILS

• Neuberger Berman - Individual (Owner: SP) Location: US

INITIAL PUBLIC OFFERINGS

C Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal, 04/18/2020