



PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Josh Gottheimer

Status: Member

State/District: NJ05

TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
JT	Abbott Laboratories (ABT) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	03/13/2020	04/07/2020	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Adyen N V Un-sponsored ADR (ADYFY) [OT] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 LOCATION: US DESCRIPTION: ADR stock	P	03/26/2020	04/07/2020	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Altria Group, Inc. (MO) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	03/13/2020	04/07/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Amazon.com, Inc. (AMZN) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Traditional Inherited IRA	P	03/17/2020	04/07/2020	\$15,001 - \$50,000	<input type="checkbox"/>
JT	Apple Inc. (AAPL) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	03/05/2020	04/07/2020	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Apple Inc. (AAPL) [ST] FILING STATUS: New	P	03/23/2020	04/07/2020	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Apple Inc. (AAPL) [ST]	P	03/20/2020	04/07/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	CACI International, Inc. Class A (CACI) [ST]	P	03/24/2020	04/07/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Carnival Corporation (CCL) [ST]	S	03/13/2020	04/07/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Check Point Software Technologies Ltd. - Ordinary Shares (CHKP) [ST]	S (partial)	03/26/2020	04/07/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Dentsu Inc Tokyo Un-sponsored ADR (DNTUY) [ST]	S	03/26/2020	04/07/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	ExlService Holdings, Inc. (EXLS) [ST]	S	03/26/2020	04/07/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
	Goldman Sachs Group, Inc. (GS) [ST]	P	03/17/2020	04/07/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Traditional Inherited IRA					
JT	HealthEquity, Inc. (HQY) [ST]	P	03/26/2020	04/07/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Helen of Troy Limited (HELE) [ST]	P	03/02/2020	04/07/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
JT	Honeywell International Inc. (HON) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	03/26/2020	04/07/2020	\$1,001 - \$15,000	<input type="checkbox"/>
JT	HSBC Holdings, plc. (HSBC) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S	03/26/2020	04/07/2020	\$1,001 - \$15,000	<input type="checkbox"/>
JT	John Bean Technologies Corporation (JBT) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S	03/02/2020	04/07/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	JP Morgan Chase & Co. (JPM) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Traditional Inherited IRA	P	03/17/2020	04/07/2020	\$15,001 - \$50,000	<input type="checkbox"/>
JT	lululemon athletica inc. (LULU) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	03/27/2020	04/07/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JT	Microsoft Corporation (MSFT) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management	P	03/17/2020	04/07/2020	\$15,001 - \$50,000	<input type="checkbox"/>
JT	Microsoft Corporation (MSFT) [OP] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management DESCRIPTION: Call options; Strike Price \$140; Expires 12/18/2020	P	03/20/2020	04/07/2020	\$50,001 - \$100,000	<input type="checkbox"/>
JT	Microsoft Corporation (MSFT) [OP] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management DESCRIPTION: Call options; Strike Price \$145; Expires 01/15/2021	S	03/20/2020	04/07/2020	\$50,001 - \$100,000	<input type="checkbox"/>
JT	Microsoft Corporation (MSFT) [OP] FILING STATUS: New	P	03/20/2020	04/07/2020	\$100,001 - \$250,000	<input type="checkbox"/>

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		SUBHOLDING OF: Morgan Stanley - Portfolio Management DESCRIPTION: Call options; Strike Price \$140; Expires 01/15/2021					
JT		Microsoft Corporation (MSFT) [OP]	S	03/20/2020	04/07/2020	\$50,001 - \$100,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management DESCRIPTION: Call options; Strike Price \$150; Expires 03/19/2021					
JT		Microsoft Corporation (MSFT) [OP]	P	03/12/2020	04/07/2020	\$15,001 - \$50,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management DESCRIPTION: Call options; Strike Price \$170; Expires 12/18/2020					
JT		Microsoft Corporation (MSFT) [OP]	P	03/12/2020	04/07/2020	\$50,001 - \$100,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management DESCRIPTION: Call options; Strike Price \$135; Expires 12/18/2020					
JT		Microsoft Corporation (MSFT) [OP]	P	03/12/2020	04/07/2020	\$50,001 - \$100,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management DESCRIPTION: Call options; Strike Price \$135; Expires 12/18/2020					
JT		Microsoft Corporation (MSFT) [OP]	P	03/12/2020	04/07/2020	\$50,001 - \$100,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management DESCRIPTION: Call options; Strike Price \$140; Expires 09/18/2020					
JT		Microsoft Corporation (MSFT) [OP]	P	03/12/2020	04/07/2020	\$50,001 - \$100,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management DESCRIPTION: Call options; Strike Price \$135; Expires 09/18/2020					
JT		Microsoft Corporation (MSFT) [OP]	P	03/12/2020	04/07/2020	\$50,001 - \$100,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management DESCRIPTION: Call options; Strike Price \$135; Expires 09/18/2020					

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
JT		Microsoft Corporation (MSFT) [OP] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management DESCRIPTION: Call options; Strike Price \$160; Expires 01/15/2021	S	03/12/2020	04/07/2020	\$50,001 - \$100,000	<input type="checkbox"/>
JT		Microsoft Corporation (MSFT) [OP] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management DESCRIPTION: Call options; Strike Price \$150; Expires 01/15/2021	S	03/12/2020	04/07/2020	\$50,001 - \$100,000	<input type="checkbox"/>
JT		Microsoft Corporation (MSFT) [OP] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management DESCRIPTION: Call options; Strike Price \$145; Expires 01/15/2021	S	03/12/2020	04/07/2020	\$50,001 - \$100,000	<input type="checkbox"/>
JT		Microsoft Corporation (MSFT) [OP] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management DESCRIPTION: Call options; Strike Price \$145; Expires 01/15/2021	S	03/12/2020	04/07/2020	\$50,001 - \$100,000	<input type="checkbox"/>
JT		Microsoft Corporation (MSFT) [OP] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management DESCRIPTION: Call options; Strike Price \$145; Expires 01/15/2021	S	03/12/2020	04/07/2020	\$50,001 - \$100,000	<input type="checkbox"/>
JT		Microsoft Corporation (MSFT) [OP] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management DESCRIPTION: Call options; Strike Price \$150; Expires 03/19/2021	S	03/12/2020	04/07/2020	\$50,001 - \$100,000	<input type="checkbox"/>
JT		Microsoft Corporation (MSFT) [OP] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management DESCRIPTION: Call options; Strike Price \$145; Expires 03/19/2021	S	03/12/2020	04/07/2020	\$50,001 - \$100,000	<input type="checkbox"/>
JT		Microsoft Corporation (MSFT) [OP] FILING STATUS: New	P	03/06/2020	04/07/2020	\$15,001 - \$50,000	<input type="checkbox"/>

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		SUBHOLDING OF: Morgan Stanley - Portfolio Management DESCRIPTION: Call options; Strike Price \$170; Expires 07/17/2020					
JT		Microsoft Corporation (MSFT) [OP]	P	03/06/2020	04/07/2020	\$100,001 - \$250,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management DESCRIPTION: Call options; Strike Price \$135; Expires 07/17/2020					
JT		Microsoft Corporation (MSFT) [OP]	P	03/06/2020	04/07/2020	\$100,001 - \$250,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management DESCRIPTION: Call options; Strike Price \$135; Expires 07/17/2020					
JT		Microsoft Corporation (MSFT) [OP]	S	03/06/2020	04/07/2020	\$50,001 - \$100,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management DESCRIPTION: Call options; Strike Price \$170; Expires 12/18/2020					
JT		Microsoft Corporation (MSFT) [OP]	S	03/06/2020	04/07/2020	\$50,001 - \$100,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management DESCRIPTION: Call options; Strike Price \$145; Expires 12/18/2020					
JT		Microsoft Corporation (MSFT) [OP]	S	03/06/2020	04/07/2020	\$100,001 - \$250,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management DESCRIPTION: Call options; Strike Price \$140; Expires 09/18/2020					
JT		Microsoft Corporation (MSFT) [OP]	P	03/06/2020	04/07/2020	\$100,001 - \$250,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management DESCRIPTION: Call options; Strike Price \$135; Expires 06/19/2020					
JT		Microsoft Corporation (MSFT) [OP]	S	03/06/2020	04/07/2020	\$50,001 - \$100,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management DESCRIPTION: Call options; Strike Price \$150; Expires 01/15/2021					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	Microsoft Corporation (MSFT) [ST] FILING STATUS: New SUBHOLDING OF: Fidelity Brokerage	P	03/24/2020	04/08/2020	\$50,001 - \$100,000	<input type="checkbox"/>
	Microsoft Corporation (MSFT) [ST] FILING STATUS: New SUBHOLDING OF: Ameritrade	P	03/17/2020	04/08/2020	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Nestle SA Sponsored ADR representing Registered Shares Series B (NSRGY) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	03/13/2020	04/07/2020	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Nitori Holdings Co LTD ADR (NCLTY) [OT] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 LOCATION: US DESCRIPTION: ADR stock	P	03/12/2020	04/07/2020	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Nitori Holdings Co Ltd ADR (NCLTY) [OT] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 LOCATION: US DESCRIPTION: ADR stock	P	03/11/2020	04/07/2020	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Pebblebrook Hotel Trust Common Shares of Beneficial Interest (PEB) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S	03/02/2020	04/07/2020	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Quidel Corporation (QDEL) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	03/02/2020	04/07/2020	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Roper Technologies, Inc. (ROP) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	03/27/2020	04/07/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
JT		Square, Inc. Class A (SQ) [ST]	P	03/26/2020	04/07/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT		Starbucks Corporation (SBUX) [ST]	S (partial)	03/18/2020	04/07/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT		Taiwan Semiconductor Manufacturing Company Ltd. (TSM) [ST]	S (partial)	03/12/2020	04/07/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
		The Blackstone Group Inc. Class A (BX) [ST]	P	03/17/2020	04/07/2020	\$15,001 - \$50,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Traditional Inherited IRA					
JT		The Descartes Systems Group Inc. (DSGX) [ST]	P	03/26/2020	04/07/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT		Unilever PLC (UL) [ST]	P	03/26/2020	04/07/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT		United Airlines Holdings, Inc. (UAL) [ST]	P	03/17/2020	04/07/2020	\$15,001 - \$50,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management					

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o Ameritrade
LOCATION: US
- o Fidelity Brokerage
LOCATION: US
- o Morgan Stanley - Portfolio Management (Owner: JT)
LOCATION: US

- Morgan Stanley - Select UMA Account # 1 (Owner: JT)
LOCATION: US
- Morgan Stanley - Traditional Inherited IRA

INITIAL PUBLIC OFFERINGS

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Josh Gottheimer , 04/11/2020