



Filing ID #20016369

# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Dean Phillips  
**Status:** Member  
**State/District:** MN03

## TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	Aercap Holdings NV VAR Rt 10/10/2079 dtd 10/10/2019 [CS] FILING STATUS: New DESCRIPTION: Preferred security	S	03/02/2020	04/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Booking Holdings Inc. (BKNG) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	03/05/2020	04/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Comcast Corporation - Class A (CMCSA) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	03/05/2020	04/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Eaton Corporation, PLC Ordinary Shares (ETN) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	03/05/2020	04/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Emerson Electric Company (EMR) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	03/16/2020	04/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Emerson Electric Company (EMR) [ST] FILING STATUS: New	P	03/17/2020	04/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SUBHOLDING OF: - Trust 1					
	First Citizens Bancshare PFD [CS]	P	03/05/2020	04/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New DESCRIPTION: Preferred security					
	Ingersoll Rand Inc. (IR) [ST]	E	03/02/2020	04/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New DESCRIPTION: Received shares of Ingersoll Rand, Inc. in exchange for Ingersoll-Rand plc as a result of spin-off. COMMENTS: On 3/4/2020 Ingersoll-Rand plc changed it's name to Trane Technologies (TT).					
	Koninklijke Philips N.V. NY Registry Shares (PHG) [ST]	P	03/05/2020	04/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: - Trust 1					
	Northern Trust Corporation (NTRS) [ST]	P	03/05/2020	04/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: - Trust 1					
	PPG Industries, Inc. (PPG) [ST]	P	03/05/2020	04/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: - Trust 1					
	UnitedHealth Group Incorporated Common Stock (UNH) [ST]	P	03/13/2020	04/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: - Trust 1					

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## ASSET CLASS DETAILS

- o - Trust 1 (100% Interest)

## INITIAL PUBLIC OFFERINGS

Yes  No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Dean Phillips , 04/10/2020