Periodic Transaction Report

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member State/District: CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	Americold Realty Trust Common Shares (COLD) [ST]	P	03/24/2020	03/31/2020	\$91.07	
		FILING STATUS: New Subholding Of: Neuberger Berman - In	ndividual				
	SP	Ecolab Inc. (ECL) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Ir	S ndividual	03/23/2020	03/30/2020	\$629.20	П

^{*} For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

ASSET CLASS DETAILS

Neuberger Berman - Individual (Owner: SP)
 Location: US

INITIAL PUBLIC OFFERINGS

C Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal, 03/31/2020